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Chapter 22

1 General – Medicinal Products

1.1 What laws and codes of practice govern the advertising of medicinal products in your jurisdiction?

The statutory basis for the regulation of advertising for medicinal products is the Federal Act on Medicinal Products and Medical Devices (Therapeutic Products Act, hereinafter “TPA”, https://www.admin.ch/opc/en/classified-compilation/20002716/index.html).


Of great practical importance are the Pharma Code (https://www.scienceindustries.ch/_file/27933/Pharmakodex_Version_Mai%202020_E.pdf) and the Pharma Cooperation Code (https://www.scienceindustries.ch/_file/27936/Pharmakooperations-Kodex_Mai%202020_E.pdf) issued by scienceindustries, which are industry codes based on private law and bind the vast majority of pharmaceutical companies operating in Switzerland. Several recommendations for the proper implementation of these Codes exist (https://www.scienceindustries.ch/en/article/13931/pharma-code-practice; https://www.scienceindustries.ch/en/article/13949/pharma-cooperation-code-practice).

Because offers of monetary benefits to healthcare professionals (hereinafter “HCPs”) and healthcare organisations (hereinafter “HCOs”) may also be regarded as medicinal product advertising (cf. answer to question 1.2), the Ordinance on Integrity and Transparency in the Therapeutic Products’ Sector (hereinafter “VITH”, https://www.fedlex.admin.ch/eli/cc/2019/273/en) should also be taken into account.

1.2 How is “advertising” defined?

According to article 2(a) AWV, advertising of medicinal products is defined as all information, marketing and incentive measures intended to promote the prescription, supply, sale, consumption or use of medicinal products.

Not regarded as medicinal product advertising are: (i) the packaging material and the product information; (ii) sales catalogues and price lists, provided that they do not contain medical information about medicinal products; and (iii) information of a general nature concerning health or diseases, provided that this information does not relate directly or indirectly to specific medicinal products (article 1(2) AWV).

1.3 What arrangements are companies required to have in place to ensure compliance with the various laws and codes of practice on advertising, such as “sign off” of promotional copy requirements?

The marketing authorisation holder (hereinafter “MAH”) is required to designate a person who is responsible for the advertising of the medicinal products it distributes in Switzerland (article 25(1) AWV). This person must have a scientific, medical or similar formation or experience (article 25(2) AWV). She or he has to ensure that the advertising materials are compliant with the legal requirements (which requires a “sign off”), keep a copy of such materials for six months after their last publication, and hold a register with the names of all addressees, the details of the publications and the date of the first publication. The person responsible for advertising has to ensure that the sales representatives of the pharmaceutical company are appropriately trained and comply with the legal obligations regarding advertising of medicinal products. The responsible person has to ensure that Swissmedic’s instructions are immediately and fully carried out (article 25(3) AWV).

Article 11 VITH obliges MAHs and distributors of medicinal products (except sales category E) to: (i) designate a person who will provide the Federal Office of Public Health (hereinafter “FOPH”) on request all the documents and information required; (ii) keep all agreements concluded with medicinal persons and organisations within the meaning of the VITH for 10 years after their last use; and (iii) keep a list of all medicinal persons and organisations that have received permissible benefits within the meaning of the VITH.
As mentioned under question 1.3 above, the MAH has to designate a person who is responsible for ensuring that the advertising material is compliant with the legal requirements (article 25 AWV) and appoint a person who is responsible for ensuring that the provisions regarding integrity and transparency are observed.

In addition, the Pharma Code requests that pharmaceutical companies set up a scientific service that is responsible for information about their medicinal products (rule 62 Pharma Code) and appoint a person who ensures the conformity of all promotional and informational materials with the Pharma Code and applicable laws (rule 63 Pharma Code). Pharmaceutical companies have to inform the Pharma Code Secretariat of the name of this person (rule 63.4 Pharma Code). Apart from this, no explicit requirement for SOPs exists; however, SOPs are often put in place.

Advertising in writing or electronic form addressed to the general public for analgesics, sleeping drugs, sedatives, laxatives, and anorexics with a potential risk of addiction or abuse mentioned in the product information must be submitted to Swissmedic for prior approval (articles 15(a) and (c) and 23(1) AWV, cf. Swissmedic Journal 08/2016, p. 644 et seqq.).

MAHs who seriously or repeatedly infringe the provisions regarding advertising of medicinal products can be obliged by Swissmedic for a certain period of time to submit all drafts of planned advertising to Swissmedic for prior approval (article 23(2) AWV).

Swissmedic is authorised to enforce the provisions regarding advertising in the TPA and the AWV through different administrative measures. In addition to these administrative measures, Swissmedic can temporarily or permanently stop the advertising of a specific medicinal product in the event of serious or repeated infringements.

Swissmedic may seize, in principle, the advertising material, and publish the prohibition at the expense of the responsible party (article 66(2)(f) TPA). Moreover, Swissmedic may criminally prosecute cases against persons who wilfully contravene the regulation on the advertising of medicinal products (article 87(1)(b) TPA, cf. also article 333(3) of the Swiss Criminal Code, hereinafter “SCC”, https://www.admin.ch/opc/en/classified-compilation/19370083/index.html). If the person concerned acts professionally, he or she shall be liable to a monetary penalty of up to 180 daily penalty units (article 87(2) TPA). If the person concerned acts through negligence, the penalty shall be a fine not exceeding CHF 50,000 (article 87(3) TPA). Attempts, aiding and abetting are also offences (article 87(4) TPA). In particularly minor cases, prosecution and sentencing may be waived (article 87(6) TPA).

In case that a fine of more than CHF 5,000 is imposed, an entry is made in the criminal register according to the Criminal Records Ordinance (hereinafter “VOSTRA Ordinance”, https://www.admin.ch/opc/de/classified-compilation/20061863/index.html).

In principle, the proceedings are conducted against a natural person. However, if a fine not exceeding CHF 20,000 is envisaged and the investigation of the persons punishable would require investigative measures that would be disproportionate in view of the penalty imposed, these persons may be exempted from prosecution and in their place the pharmaceutical company may be imposed the fine (article 7 VStrR and article 89 TPA).

Swissmedic may criminally prosecute cases against persons and companies violating the TPA in the areas where enforcement is at federal level. In the areas where enforcement is at cantonal level, the penal cantonal authorities are responsible (article 90 TPA).

An example where action has been taken against a pharmaceutical company was the distribution of a brochure on migraines and their medical treatment by Pfizer, where Swissmedic found an illegal promotion of the medicinal product Relpax (decision of the Federal Supreme Court 2A.63/2006).

The TPA does not contain rules regarding civil claims. Competitors may base civil claims on the UCA. Such claims have to be filed in front of the civil courts.

1.4 Are there any legal or code requirements for companies to have specific standard operating procedures (SOPs) governing advertising activities or to employ personnel with a specific role? If so, what aspects should those SOPs cover and what are the requirements regarding specific personnel?

1.5 Must advertising be approved in advance by a regulatory or industry authority before use? If so, what is the procedure for approval? Even if there is no requirement for prior approval in all cases, can the authorities require this in some circumstances?

1.6 If the authorities consider that an advertisement which has been issued is in breach of the law and/or code of practice, do they have powers to stop the further publication of that advertisement? Can they insist on the issue of a corrective statement? Are there any rights of appeal?

1.7 What are the penalties for failing to comply with the rules governing the advertising of medicines? Who has responsibility for enforcement and how strictly are the rules enforced? Are there any important examples where action has been taken against pharmaceutical companies? If there have not been such cases, please confirm. To what extent may competitors take direct action through the courts in relation to advertising infringements?

A fine of up to CHF 50,000 may be imposed on any person who wilfully contravenes the regulation on the advertising of medicinal products (article 87(1)(b) TPA, cf. also article 333(3) of the SCC). Attempts, aiding and abetting are also offences (article 87(4) TPA). In particularly minor cases, prosecution and sentencing may be waived (article 87(6) TPA).

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1.8 What is the relationship between any self-regulatory process and the supervisory and enforcement function of the competent authorities? Can and, in practice, do, the competent authorities investigate matters drawn to their attention that may constitute a breach of both the law and any relevant code and are already being assessed by any self-regulatory body?

Do the authorities take up matters based on an adverse finding of any self-regulatory body?

Swissmedic is authorised to enforce the statutory advertising provisions through different administrative measures. In addition to these administrative measures, Swissmedic can also initiate administrative criminal procedures (cf. answer
Misleading or incorrect statements about own products or about the products of others (article 3(1)(a) and (b) UCA), and any measures that may cause confusion with products or business of competitors (article 3(1)(d) UCA), can be challenged in civil or penal unfair competition proceedings. These proceedings fall within the jurisdiction of the cantonal courts and their decisions can be appealed with the Swiss Federal Court. In civil proceedings, injunctive relief may be obtained and decisions may be enforceable in other countries.

2 Providing Information Prior to Authorisation of Medicinal Product

2.1 To what extent is it possible to make information available to healthcare professionals about a medicine before that product is authorised? For example, may information on such medicines be discussed, or made available, at scientific meetings? Does it make a difference if the meeting is sponsored by the company responsible for the product? Is the position the same with regard to the provision of off-label information (i.e. information relating to indications and/or other product variants not authorised)?

It is permissible to provide scientific information about an unauthorised medicinal product, but advertising an unauthorised medicinal product is not allowed (article 32(1)(c) TPA). Information concerning unauthorised medicinal products provided to HCPs must always clearly indicate that the medicinal product is not yet authorised (rule 26.3 Pharma Code). The same rules basically apply to off-label information. Advertising for off-label use of a medicinal product is prohibited (article 5(1) AWV), while information on off-label use of a medicinal product is allowed. Such information is most often made available at scientific meetings. With regard to discussions at scientific meetings, in principle, it does not make a difference whether the meeting is sponsored by the company responsible for the product or not.

2.2 May information on unauthorised medicines and/or off-label information be published? If so, in what circumstances?

Publishing such information is permitted, provided this information is not advertising.

2.3 Is it possible for companies to issue press releases about unauthorised medicines and/or off-label information? If so, what limitations apply? If differences apply depending on the target audience (e.g. specialised medical or scientific media vs. mainstream public media), please specify.

It is permissible for pharmaceutical companies to issue press releases to journalists about unauthorised medicines and off-label information. Using the brand name in such press releases is allowed; however, the International Non-proprietary Name (“INN”) of the compound has to be used as well. Such press releases can only be given to journalists. On the Internet, such press releases must therefore be password-protected. Press releases for non-specialist media must not constitute advertising (rule 26.2 Pharma Code).

It is also permissible for pharmaceutical companies to issue ad hoc information to the public as mandated by stock exchange regulations.

2.4 May such information be sent to healthcare professionals by the company? If so, must the healthcare professional request the information?

The proactive distribution of information about unauthorised medicinal products and/or off-label indications to HCPs is delicate and could be seen as disguised advertising. Sending such information (e.g. study publications) on request should not pose problems in most cases.

2.5 How has the ECJ judgment in the Ludwigs case, Case C-143/06, permitting manufacturers of non-approved medicinal products (i.e. products without a marketing authorisation) to make available to pharmacists price lists for such products (for named-patient/compassionate use purposes pursuant to Article 5 of the Directive), without this being treated as illegal advertising, been reflected in the legislation or practical guidance in your jurisdiction?

Switzerland as a non-EU member country is not bound by the Directive and the judgment in the Ludwigs case. Article 1(2)(b) AWV states, however, that sales catalogues and price lists without medicinal product information are not considered advertising (which has been the position since 2002). Therefore, it is unlikely that the sole communication of price lists for non-approved medicinal products to pharmacists would be considered prohibited off-label advertisement. It should be noted that the importation of non-approved medicinal products is only permissible to medicinal persons.
2.6 May information on unauthorised medicines or indications be sent to institutions to enable them to plan ahead in their budgets for products to be authorised in the future?

According to article 2(a) AWV, advertising of medicinal products is defined as all information, marketing and incentive measures intended to promote the prescription, supply, sale, consumption or use of medicinal products. If information on unauthorised medicines or indications will be sent to institutions to enable them to plan ahead in their budgets for products to be authorised in the future, this is likely to be qualified as a promotional purpose, with the effect that such information is to be qualified as unlawful advertising.

2.7 Is it possible for companies to involve healthcare professionals in market research exercises concerning possible launch materials for medicinal products or indications as yet unauthorised? If so, what limitations apply? Has any guideline been issued on market research of medicinal products?

It is permissible for pharmaceutical companies to engage HCPs for consultancy services. Compensation for such services is permissible if: (i) the compensation is based on a written agreement specifying the nature and extent of the services and compensation; and (ii) the compensation is equivalent to the services received (article 7 VITH).

According to the Pharma Cooperation Code, the engagements of HCPs must be transparently disclosed.

If market research is conducted with the principal purpose of advertising off-label products or indications, the engagement of HCPs and the market research would have to be considered prohibited advertising.

3 Advertisements to Healthcare Professionals

3.1 What information must appear in advertisements directed to healthcare professionals?

All information in advertising to HCPs must be in accordance with the most recent medicinal product information approved by Swissmedic; in particular, only indications and possible applications authorised by Swissmedic may be advertised (article 5(1) AWV). If the product information has not yet been published, the MAH must include the full content of the most recently approved product information (article 5(2) AWV).

Advertisements addressed to HCPs must be accurate, well balanced, factually correct and verifiable in their statements. The statements must not be misleading, incite abusive, excessive or inappropriate use of medicinal products, or be contrary to public morality and order (article 32(1) TPA). The supporting references must be made available to HCPs on request (article 5(3) AWV).

Advertising and editorial contributions must be clearly separated (article 5(4) AWV).

The advertising statements must be based on and reflect the current state of scientific knowledge. They may only refer to clinical trials that have been conducted in accordance with the rules of good clinical practice and have been published or accepted for publication, and to data collections such as meta-analyses or reports on practical experience that have been published in a scientifically recognised journal. These publications must be cited with the accurate source. HCPs can request a complete copy of the corresponding references from the company (article 5(5) AWV).

According to certain Swissmedic decisions and case law (cf. decision of the Federal Administrative Court C-5490/2015 E.6.4.3), statements based on findings of new studies that are not written in the approved product information may not be used for advertisement. The practice of the Pharma Code Secretariat is less strict in this respect.

A medicinal product, an indication, a dosage, a pharmaceutical form or a package may be advertised as “new” for 18 months after the initial marketing authorisation in Switzerland (article 5(6) AWV). According to rule 24.3.2 of the Pharma Code, medicinal products, indications, possible applications, dosages, pharmaceutical forms and packaging may only be described as “new” within 12 months after they have been made generally available or advertised in Switzerland. However, they may only be advertised as “new” for a maximum of 18 months after they were first authorised in Switzerland. Additionally, the information must clearly state on what this attribute is based.

3.3 Are there any restrictions to the inclusion of endorsements by healthcare professionals in promotional materials?

Endorsements by HCPs are generally permitted in promotional materials to HCPs, insofar as they are well balanced, not misleading and the endorsing HCPs are identifiable.

3.4 Is it a requirement that there be data from any, or a particular number of, “head to head” clinical trials before comparative claims may be made?

Advertising statements concerning comparisons with other medicinal products are only permitted if they are scientifically correct and based on equivalent clinical trials or published data collection (article 7(1) AWV). If the comparative statement is scientifically correct, the study does not necessarily have to be a “head-to-head” clinical trial.
Comparative advertisements are permitted (article 7 AWV). It is permissible to use the brand name of another company’s product as part of such a comparative advertisement. Swiss law prohibits the advertisement of unauthorised medicines or indications and promotion that is misleading. It may be misleading to refer in a comparative advertisement to a competitor’s product or indication that has not (yet) been authorised.

3.6 What rules govern the distribution of scientific papers and/or proceedings of congresses to healthcare professionals?

There are no specific legal provisions governing the distribution of scientific papers and/or proceedings of congresses to HCPs. Therefore, the general advertising rules apply. In particular, a clear distinction must be made between advertising and scientific information. (article 5(4) AWV). Advertising may not be disguised as scientific papers and/or proceedings of congresses. The proactive distribution of study results that are off-label could, for example, be seen as disguised advertising.

Any advertisement for medicinal products addressed to HCPs has to be accurate, well balanced, factually correct and verifiable in its statements (article 6 AWV). A teaser advertisement to alert HCPs that something new will follow without any additional information is not informative and does not comply with these requirements.

4 Gifts and Financial Incentives

4.1 Is it possible to provide healthcare professionals with samples of medicinal products? If so, what restrictions apply?

Samples of medicinal products may only be distributed in small quantities to HCPs. Swissmedic considers the following sample quantities to be the maximum permitted: Within two years of market launch, a maximum of five packs per HCP, per year and per medicinal product. From the third year after market launch, a maximum of two packs per HCP, per year and per medicinal product. The samples may only be distributed on specific written request of the applying HCP. They must be clearly and permanently marked as “free sample”.

Samples must correspond to the smallest approved package size. They must contain the necessary information and texts on the container and packaging material as well as an approved package leaflet. For medicinal products that may be placed on the market without a package leaflet, the sample package must contain the necessary information on the container and packaging material. Samples must be accompanied by the most recently approved product information or a reference to its publication. The MAH must keep records of the supply of samples. The distribution of samples containing psychotropic substances or narcotics is regulated in the Narcotics Control Ordinance (article 10 AWV).

According to the Pharma Code, samples may not be given as an inducement to recommend, prescribe, acquire, deliver, sell or administer a particular medicinal product (rule 28.2).

4.2 Is it possible to give gifts or donations of money to healthcare professionals? If so, what restrictions apply? If monetary limits apply, please specify.

Persons who prescribe, dispense, use in their own responsibility, purchase for this purpose, or participate in purchase decisions for prescription-only medicinal products, and organisations employing such persons, shall not claim, be promised or accept any undue advantage for themselves or for the benefit of a third party. Similarly, it is forbidden to offer, promise or grant an undue advantage to any such person or organisation for their benefit or for the benefit of a third party (article 55(1) TPA). Article 55 (2) TPA lists certain exceptions from this rule. For example, advantages of modest value that are of relevance to medical or pharmaceutical practice are not regarded as undue (article 55(2)(a) TPA). Advantages of modest value are benefits with a total value of no more than CHF 300 per HCP and per year (article (3)(1) VITH). An advantage is of relevance to the medical or pharmaceutical practice if it is directly related to the practice of the HCP or directly benefits its patients (article (3) (2) VITH). According to the Pharma Code (rule 15.3.1), such advantages must be exclusively intended for the medical or pharmaceutical practice or education and be of use both for the HCP and the patients.
4.3 Is it possible to give gifts or donations of money to healthcare organisations such as hospitals? Is it possible to donate equipment, or to fund the cost of medical or technical services (such as the cost of a nurse, or the cost of laboratory analyses)? If so, what restrictions would apply? If monetary limits apply, please specify.

While article 55 TPA generally prohibits undue financial advantages in relation to prescription-only medicinal products granted to HCPs or HCOs, grants or donations related to such products given to HCOs are permissible under certain conditions. Article 55(2)(b) TPA and articles 4 and 5 VITH list as admissible support contributions for research, teaching, education and training as well as infrastructure. Such support contributions are permissible if they are: (i) not offered, promised or granted to an individual HCP, but to the organisation employing the HCP; (ii) based on a written agreement stating the intended use; (iii) used exclusively for the intended purpose; (iv) not subject to conditions or requirements concerning the prescription, dispensing, use or purchase of certain prescription-only medicines; (v) transferred to a designated account of the organisation to which no individual HCPs have access; and (vi) shown in the accounts of the organisation (article 4 VITH).

Medical or technical services falling under one of the four abovementioned categories can therefore be funded.

In relation to prescription-only medicinal products, article 55 TPA, in our view, only allows monetary contributions and no contributions in kind.

In relation to medical devices exclusively, article 55 TPA in its current version is not applicable, so the donation of medical devices is admissible if it is in line with the rules applicable to the HCO.

According to the Pharma Cooperation Code, any donation in kind or in cash must be openly disclosed to the public by the donating manufacturer of medicinal products (rules 24–29.2 Pharma Cooperation Code).

4.4 Is it possible to provide medical or educational goods and services to healthcare professionals that could lead to changes in prescribing patterns? For example, would there be any objection to the provision of such goods or services if they could lead either to the expansion of the market for, or an increased market share of, the products of the provider of the goods or services?

According to article 55(2)(a) TPA and article 3(1) VITH, it is possible to provide medical or educational goods to HCPs, provided that the value of these goods does not exceed CHF 300 per year and per HCP and such goods are of relevance for the medical or pharmaceutical practice (cf. answer to question 4.2 above). The legislator assumed that such modest benefits would not change the prescription behaviour of HCPs.

The provision of educational services is, in principle, allowed according to the provisions on the support of medical education (cf. answer to question 4.10 below).

4.5 Do the rules on advertising and inducements permit the offer of a volume-related discount to institutions purchasing medicinal products? If so, what types of arrangements are permitted?

Article 55 TPA in its present version only regulates discounts on purchases of prescription-only medicinal products. Pharmaceutical law does not therefore restrict volume-related discounts on non-prescription medicinal products.

Discounts granted on prescription-only medicinal products are permissible, if they have no influence on the choice of treatment (article 55(2)(d) TPA). It is not possible to say in general terms when discounts, e.g. discounts related to volume, can influence the choice of treatment.

A discount is inadmissible if it can lead to a situation where: (i) an unsuitable prescription-only medicinal product is prescribed, dispensed or sold; (ii) a prescription-only medicinal product is excessively prescribed, dispensed or used; or (iii) a prescription-only medicinal product is prescribed, dispensed or used although the use of a medicinal product is not indicated.

It can also be argued that discounts that are passed on to the patients or their healthcare insurers – as required by article 56 of the Health Insurance Act (hereinafter “KVG”, https://www.admin.ch/opc/de/classified-compilation/19940073/index.html) – do not have any influence on the choice of treatment, as no relevant advantage remains with the HCP/HCO.

In particular, the risk of an undue benefit is considered low if discounts are negotiated with an institution in which the purchasing and prescribing/dispensing functions are separated. Therefore, more caution is required when granting discounts to HCPs than to organisations that have a purchasing committee or department.

4.6 Is it possible to offer to provide, or to pay for, additional medical or technical services or equipment where this is contingent on the purchase of medicinal products? If so, what conditions would need to be observed? Are commercial arrangements whereby the purchase of a particular medicine is linked to provision of certain associated benefits (such as apparatus for administration or the provision of training on its use) as part of the purchase price (“package deals”) acceptable? If so, what rules apply?

Article 55 TPA in principle prohibits financial benefits related to prescription-only medicinal products. As an exception to this rule, discounts or paybacks offered in relation to the purchase are permissible, if they have no influence on the choice of treatment (article 55(2)(d) TPA). Other advantages like the offer to provide, or to pay for, additional medical or technical services or equipment are not permissible for prescription-only medicinal products. Package deals are less of an issue if only the package is sold.

4.7 Is it possible to offer a refund scheme if the product does not work? If so, what conditions would need to be observed? Does it make a difference whether the product is a prescription-only medicine, or an over-the-counter medicine?

It is not expressly prohibited to offer HCPs or HCOs a refund scheme if the product does not work. If the refund scheme is decided or approved by the FOPH, it is admissible. Apart from this situation, there are no established rules on refund schemes. A refund scheme would require a lot of organisation. Repayments on reimbursed products would have to be passed on by the HCP or HCO to the debtor of the price of the product, i.e. the patient or his health insurance. For non-reimbursed products, a refund scheme related to prescription-only medicinal products may raise concerns, as it could be seen as an undue monetary benefit that may influence the choice of therapy (article 55(1) TPA), and a refund scheme related to non-prescription medicinal products could be seen as inciting an excessive or inappropriate use of medicinal products.
The Swiss healthcare sector includes various players, such as public and private hospitals, health insurance companies, the federal and cantonal health authorities, etc.; therefore, various rules can apply. There are various forms of permitted cooperation. In the field of mandatory social health insurance, the areas of cooperation between pharmaceutical companies and health insurance companies are more limited than outside of this area. There are various possibilities of cooperation in joint projects between pharmaceutical companies and HCPs and HCOs.

According to article 55(2)(b) TPA and article 6 VITH, pharmaceutical companies may offer HCOs grants for continuing medical education, provided that the contributions: (i) are not offered, promised or granted to a single HCP, but to the organisation employing the HCP; (ii) are based on a written agreement stating the intended use; (iii) are used exclusively for the intended purpose; (iv) are not granted to a single HCP, but to the organisation employing the HCP; (v) are paid to a designated account of the organisation to which HCPs do not have access individually; and (vi) are shown in the accounts of the organisation. Moreover, it must be ensured that the organisation providing the continuing medical education can decide independently on the type and content of the education and on the participating HCPs.

According to article 55(2)(b) TPA and article 6 VITH, pharmaceutical companies may also support individual HCPs for their participation at continuing medical education under certain conditions. The main condition is that the HCP pays at least one-third of the costs himself.

Cost contributions, including hospitality, in connection with an educational event are permissible, provided they are agreed in writing and the participating HCP or the organisation employing him/her makes an appropriate contribution to the costs of the event (article 55(2)(b) TPA and article 6(1) VITH). The cost contribution must amount to at least one-fifth for HCPs in further education and one-third for all other HCPs of the following costs: (i) participation fees; (ii) travel costs; (iii) accommodation and meals; and (iv) offers that are not necessary for participation in the event (framework programmes) and that are of clearly subordinate importance (article 6(2) VITH).

A cost contribution may be waived if (i) the participating HCP provides an equivalent service in return during the event (article 7 VITH), or (ii) the event does not require the participating HCP to stay overnight on site and lasts no longer than half a working day without any catering following the scientific part (article 6(3) VITH).

Not permitted are: (i) the full or partial reimbursement of the cost contribution; (ii) the reimbursement of indirect participation costs such as loss of work or income; (iii) the reimbursement of costs for supporting programmes that are not of clearly subordinate importance with regard to the scientific part of the event; and (iv) the reimbursement of the costs of travel, accommodation, meals or supporting programmes of accompanying persons of the participating HCP, even if the accompanying persons are HCPs themselves (article 6(4) VITH).

These rules apply irrespective of the place where the event takes place.

Article 55 TPA and the VITH contain rules against bribery related to prescription-only medicinal products and on transparency related to medicinal products and medical devices. The FOPH has the statutory mandate to ensure compliance with article 55 TPA and the VITH. Therefore, the FOPH acts as the prosecuting authority in this area.

The general anti-bribery rules regarding public officials are stated in the SCC (cf. article 322ter et sqq. SCC) and the general anti-bribery rules regarding private parties in the UCA. They are based on international conventions of the OECD, the Council of Europe and the United Nations. These rules also apply to the healthcare sector. The cantonal criminal authorities are the responsible prosecuting authorities in this area.

The relationship between the rules of the TPA and the general anti-bribery provisions is not yet clear in all respects. In relation to the granting of advantages (as opposed to a specific corrupt agreement), it seems that the provisions of the TPA are lex specialis to the general rules.

Insofar as the FOPH has assessed and punished matters that constitute a breach of article 55 TPA, the criminal prosecution authorities may not investigate and punish these again. The coordination of parallel proceedings between the FOPH and the authorities competent for anti-bribery is complex and requires an examination of the circumstances of the individual case.

5 Hospitality and Related Payments

5.1 What rules govern the offering of hospitality to healthcare professionals? Does it make a difference if the hospitality offered to those healthcare professionals will take place in another country and, in those circumstances, should the arrangements be approved by the company affiliate in the country where the healthcare professionals reside or the affiliate where the hospitality takes place? Is there a threshold applicable to the costs of hospitality or meals provided to a healthcare professional?
In the context of a scientific discussion, the reimbursement of the costs for a meal of up to a maximum of CHF 100 is permitted in accordance with article 7(2) VITH. The Pharma Code also prescribes a maximum amount of CHF 100 per HCP and meal for events that take place in Switzerland (rule 15.4).

If scientific conferences and events take place outside Switzerland, according to the Pharma Cooperation Code (rule 34.6), pharmaceutical companies may contribute to the hospitality costs only where most of the guests or the professional knowledge comes from other countries, making it more appropriate, for logistical reasons, to hold the event outside Switzerland.

The offering of hospitality should comply with the rules applicable in the country of the company affiliate that organises the event and with the rules of the country where the hospitality takes place.

5.2 Is it possible to pay for a healthcare professional in connection with attending a scientific meeting? If so, what may be paid for? Is it possible to pay him for his time?

Cost contributions, including hospitality, in connection with an educational event are permissible under certain conditions (cf. answer to question 5.1). It is not permissible to pay a HCP for his time. For the compensation of expert services, cf. answer to question 5.4.

5.3 To what extent will a pharmaceutical company be held responsible by the regulatory authorities for the contents of, and the hospitality arrangements for, scientific meetings, either meetings directly sponsored or organised by the company or independent meetings in respect of which a pharmaceutical company may provide sponsorship to individual healthcare professionals to attend?

If pharmaceutical companies organise scientific congresses, they are liable for the organisation of such congresses and may become liable for their content (e.g. infringement of the advertising regulations). They are also responsible for ensuring that their hospitality arrangements comply with the law.

In case pharmaceutical companies only sponsor but do not organise the congress, they generally state in the contract with the event organiser that the organiser is responsible for the organisation and content of the congress and that the organiser has to comply with the applicable laws and codes. Therefore, the sponsoring company usually cannot be held responsible for the content of the congress and the hospitality arrangements, as it does not influence them.

5.4 Is it possible to pay healthcare professionals to provide expert services (e.g. participating in advisory boards)? If so, what restrictions apply?

It is permissible to pay HCPs for expert services (e.g. participating in advisory boards), provided that the payment is based on a written agreement stating the nature and scope of the payment and service, and provided that the payment is equivalent to the service (article 55(2) TPA and article 7 VITH).

According to rule 41.5 of the Pharma Code: (i) there must be a justified need for the proposed consultancy task or service; (ii) the engaged HCPs must be qualified to perform the tasks; and (iii) no more HCPs than needed can be engaged.

5.5 Is it possible to pay healthcare professionals to take part in post-marketing surveillance studies? What rules govern such studies?

Compensation for equivalent services provided by HCPs or HCOs (e.g. for carrying out scientific studies and clinical trials) is permissible if: (i) the compensation is based on a written agreement stating the nature and scope of the compensation and service; and (ii) the compensation is equivalent to the service provided (article 55(2)(c) TPA and article 7(1) VITH). No compensation may be paid for services that HCPs: (i) provide for themselves; (ii) provide in fulfilment of legal obligations (e.g. reporting of adverse events); or (iii) for which HCPs are otherwise compensated (article 7(2) VITH).

Under these conditions, it is admissible to pay HCPs for their services in post-marketing surveillance studies.

5.6 Is it possible to pay healthcare professionals to take part in market research involving promotional materials?

It is, in our view, legally admissible to pay HCPs to take part in market research involving promotional materials, provided that the requirements of article 55(2)(c) TPA and article 7(1) VITH are met (cf. answer to question 5.5).

The Pharma Code and the Pharma Cooperation Code appear to be stricter in this respect as they only allow service agreements with HCPs in support of healthcare, research, development or medical training (rule 41.1 of the Pharma Code; rule 21.1 of the Pharma Cooperation Code).

6 Advertising to the General Public

6.1 Is it possible to advertise non-prescription medicines to the general public? If so, what restrictions apply?

It is possible to advertise non-prescription medicines to the general public (article 31(1)(b) TPA and article 14 AWV). However, no advertisement to the general public is allowed for medicinal products that: (i) contain narcotic or psychotropic substances; (ii) may not, on account of their composition and their intended use, be used without the intervention of a physician for the necessary diagnosis, prescription or treatment; or (iii) are frequently the object of abuse or lead to addiction or dependence (article 32(2)(b) to (d) TPA). Moreover, radio and television advertising addressed to the general public for medicinal products containing alcohol and intended for oral administration are only permitted if they contain less than 0.5g of pure alcohol in the maximum single dose according to the recommended dosage (article 20 AWV).

Advertisements addressed to the general public must not be misleading, incite abusive, excessive or inappropriate use of medicinal products, or be contrary to public morality and order (article 32(1) TPA). Exaggerations are prohibited, statements have to be in line with approved product information and advertisements must be recognisable as such (article 16 AWV).

Specific advertisement elements that are not allowed to be used are listed in article 22 AWV.

6.2 Is it possible to advertise prescription-only medicines to the general public? If so, what restrictions apply?

It is prohibited to advertise prescription-only medicines to the general public (article 32(2)(a) TPA and article 14 AWV).
6.3 If it is not possible to advertise prescription-only medicines to the general public, are disease awareness campaigns permitted encouraging those with a particular medical condition to consult their doctor, but mentioning no medicines? What restrictions apply?

Information of a general nature concerning health or diseases that does not relate directly or indirectly to specific medicinal products are not regarded as medicinal product advertising (article 1(2)(c) AWV). Therefore, disease awareness campaigns without references to a medicinal product encouraging patients with a particular medical condition to consult their physician are allowed.

6.4 Is it possible to issue press releases concerning prescription-only medicines to non-scientific journals? If so, what conditions apply? Is it possible for the press release to refer to developments in relation to as yet unauthorised medicines or unauthorised indications?

Press dossiers and press releases that refer directly or indirectly to a specific prescription-only medicinal product may also be made accessible to media professionals of non-scientific journals. In the case of presentations of new medicinal products or active substances under development as well as the future perspective and focus of the company’s research and development activities, only the name of the medicinal product, the name of the active substance and the therapeutic area or the area of application should be mentioned (cf. Swissmedic Journal 08/2006, p. 797).

6.5 What restrictions apply to describing products and research initiatives as background information in corporate brochures/Annual Reports?

It is permissible to describe research initiatives as background information in corporate brochures and annual reports. Describing manufacturing and research activities is also allowed. However, advertising specific prescription-only medicinal products, indications or contraindications is prohibited.

6.6 What, if any, rules apply to meetings with, and the funding of, patient organisations?

Pharmaceutical companies must respect the independence of patient organisations. Advertising prescription-only medicines through patient organisations is generally prohibited. Pharmaceutical companies must not require patient organisations to promote their prescription-only medicinal products; (v) transferred to a designated account of the organisation to which HCPs do not have access individually; and (vi) shown in the accounts of the organisation (article 55 (2)(b) TPA and article 4 VITH).

6.7 May companies provide items to or for the benefit of patients? If so, are there any restrictions in relation to the type of items or the circumstances in which they may be supplied?

Pharmaceutical companies may provide items to or for the benefit of patients for free. With regard to items provided directly to patients, the rules of the UCA also have to be taken into account. In particular, it is illicit to deceive the patient by means of gifts about the actual value of the offer (article 3(g) UCA).

Specific rules apply to the distribution of free medicinal products samples by retailers to patients. Medicinal product samples can only be distributed to patients under the following conditions: (i) they must be clearly and permanently labelled as “sample for free”; and (ii) they must contain only the recommended dose for one day (article 19(1–3) AWV). Furthermore, samples can only be distributed by persons entitled to dispense them (article 19(4) AWV).

If pharmaceutical companies provide items for the benefit of patients to HCPs, the limitations described in the answer to question 4.2 apply.

6.8 What are the rules governing company funding of patient support programmes?

There are no specific rules governing company funding of patient support programmes. Mainly, the rules on grants offered to HCPs and HCOs (questions 4.2 and 4.3) and patient organisations (question 6.6) apply. Furthermore, the rules about the exercise of medical professions, possible licensing requirements and the rules about reimbursement of fees by the social health insurance need to be observed.

7 Transparency and Disclosure

7.1 Is there an obligation for companies to disclose details of ongoing and/or completed clinical trials? If so, is this obligation set out in the legislation or in a self-regulatory code of practice? What information should be disclosed, and when and how?

7.2 Is there a requirement in the legislation for companies to make publicly available information about transfers of value provided by them to healthcare professionals, healthcare organisations or patient organisations? If so, what companies are affected (i.e. do these requirements apply to companies that have not yet been granted a marketing authorisation and/or to foreign companies), what information should be disclosed, from what date and how? Are companies obliged to disclose via a central platform?

There is no such legal requirement.

7.3 Is there a requirement in your self-regulatory code for companies to make publicly available information about transfers of value provided by them to healthcare professionals, healthcare organisations or patient organisations? If so, what companies are affected (i.e. do these requirements apply to companies that have not yet been granted a marketing authorisation and/or to foreign companies), what information should be disclosed, from what date and how? Are companies obliged to disclose via a central platform?

The Pharma Cooperation Code requires disclosure of monetary benefits granted by pharmaceutical companies to HCPs or HCOs. Pharmaceutical companies have to disclose the transfers of value annually and this information must remain accessible to the public for at least three years. Disclosure shall be done wherever possible and legally permitted, on an individual basis, to clearly identifiable HCPs, HCOs or patient organisations with the relevant amounts paid. The compensation for the service or consultancy tasks and the reimbursement for the related costs of the service providers have to be disclosed separately. Pharmaceutical companies are not obliged to disclose via a central platform; disclosure shall take place on their corporate website. The disclosure duty applies to all pharmaceutical companies that are bound by the Pharma Cooperation Code. It may apply to pharmaceutical companies that have not yet been granted a marketing authorisation. Companies located outside Switzerland (apart from the Principality of Liechtenstein) cannot become members of the Pharma Cooperation Code, but they may be subject to transparency rules of their country.

7.4 What should a company do if an individual healthcare professional who has received transfers of value from that company, refuses to agree to the disclosure of one or more of such transfers?

A pharmaceutical company should, whenever possible, disclose pecuniary benefits on an individual basis (rule 25.2 Pharma Cooperation Code). If the HCP refused to give consent, the disclosure has to be made in aggregated form without mentioning the identity of the HCP. The pharmaceutical company may decide to not conclude contracts with the HCP anymore.

8 Digital Advertising and Social Media

8.1 How is Internet advertising regulated? What rules apply? How successfully has this been controlled?

Advertising for prescription-only medicinal products must not be made freely accessible on the Internet (on a domain ending on .ch or addressed to the Swiss market). Advertising for prescription-only medicinal products on the Internet must be password-protected and only accessible to HCPs (article 5a AWV). Apart from this, the general rules on advertising apply. Swissmedic has, furthermore, issued various guidelines concerning the advertising of medicinal products on the Internet. It can be stated that, generally, the rules on Internet advertising are followed.

8.2 What, if any, level of website security is required to ensure that members of the general public do not have access to sites intended for healthcare professionals?

Pharmaceutical companies must ensure that advertisements for prescription-only medical products are accessible only to HCPs, e.g. by providing password-protected access. The Federal Administrative Court has confirmed that the password protection requirement requested by Swissmedic is lawful (decision of the Federal Administrative Court of 24 April 2009, C-4173/2007). A self-declaration of the visitor is not sufficient.

8.3 What rules apply to the content of independent websites that may be accessed by a link from a company-sponsored site? What rules apply to the reverse linking of independent websites to a company’s website? Will the company be held responsible for the content of the independent site in either case?

The placement of a link to a third-party website is permitted; however, such link may not be used to circumvent the advertising provisions. In principle, the provider of a website bears sole responsibility for the content of its website. However, the context in which a link has been set, as well as the technical method used, can sometimes establish responsibility of the provider of a website for the content on the linked website. For example, in the case of so-called “framing”, Internet users are led to different (including third-party) websites without leaving the originally selected website. This enables the author of a website using this technique to incorporate the content of external websites into his own website. Swissmedic recommends that a warning notice should appear if the website is left by clicking on a link. The warning notice should clearly express that by clicking of the link to the website of a foreign third party the user leaves the website and conformity with the requirements of Swiss legislation cannot be guaranteed (Swissmedic Journal 08/2006, p. 798).

In case of linking to a company website on a third-party website, the company will normally only be liable for its own website.

8.4 What information may a pharmaceutical company place on its website that may be accessed by members of the public?

Pharmaceutical companies may publish information about the company itself, its activities, its therapeutic fields, illnesses, its projects and investor information. No advertising for prescription-only medicinal products may be freely accessible on the Internet.

8.5 Are there specific rules, laws or guidance, controlling the use of social media by companies?

There are no specific legal provisions regarding the use of social media by pharmaceutical companies. Swissmedic and the industry association scienceindustries have issued recommendations concerning social media. According to these recommendations,
the use of social media channels is permissible in principle, but with regard to pharmaceutical advertising, special attention must be paid to its orientation towards the public and, in this context, to compliance with the prohibition of advertising of prescription-only medicines. In particular, it should be taken into account that advertising addressed to the general public may not contain recommendations by laypersons or medical experts (article 22(g) AWV). The publication of feedback of patients with regard to their experiences with specific medicinal products is therefore not permitted.

As mentioned under question 8.5 above, no specific legal provisions govern the use of social media by pharmaceutical companies or their employees. However, with regard to the possible interactions of employees using their personal accounts with third parties, it must be mentioned that the use of the “Share”, “Like” or “Comment” function on social media platforms, such as Facebook or YouTube, may be considered a recommendation by Swissmedic. Companies should therefore give their employees clear instructions on what is permissible on social media channels, as their actions could be qualified as prohibited advertising.

There are no specific rules regarding virtual advertising and promotional activities. Hence, when conducting such virtual events that contain advertising and promotional activities, the general rules on content, password protection, etc. must be complied with.

9 Developments in Pharmaceutical Advertising

9.1 What have been the significant developments in relation to the rules relating to pharmaceutical advertising in the last year?

The Pharma Code and the Pharma Cooperation Code have been revised with effect as of 1 January 2021. The revisions of the Pharma Code can be divided into two categories: (i) formal changes, such as additional definitions and the provision of certain integrity rules relating to the cooperation with HCPs, HCOs and patient organisations that so far were only placed in the Pharma Cooperation Code; and (ii) several material amendments due to the revision of the EPFIA Code of Practice 2019, the TPA and the entry into force of the VITH. With regard to the material changes, the formal alignment of the integrity provisions of the Pharma Code (rules 15 et seqq.) with Art. 55 TPA is to be highlighted.

The revision of the Pharma Cooperation Code mainly consisted in an alignment with the TPA revision, especially the adoption of the integrity provisions.

9.2 Are any significant developments in the field of pharmaceutical advertising expected in the next year?

As the TPA and its ordinances recently underwent a major revision in the area of pharmaceutical advertising, there are no significant legislative developments expected in the next year.

9.3 Are there any general practice or enforcement trends that have become apparent in your jurisdiction over the last year or so?

In recent years, Swissmedic has been the main enforcement authority for violations of the TPA. Since 2020, the FOPH and the Federal Customs Administration have also been given authorisation to enforce certain rules of the TPA (article 90 TPA). It is likely that this will strengthen the enforcement of the law in the coming years.
Frank Scherrer's practice focuses on the area of pharmaceutical and health law. He regularly advises and represents pharmaceutical companies in matters concerning marketing authorisation, establishment licences, health insurance reimbursement, advertising, distribution, the drafting of agreements of all types, data protection and product liability. He represents his clients in contract negotiations as well as in administrative and court proceedings. He also advises clients in the field of medical devices, cartel and unfair competition law, employment law and general corporate and contract law.

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Having maintained contacts over many years, Wenger Vieli has a network of relationships with law firms around the world. We are a member of Meritas, one of the largest international associations of independent law firms, and collaborate with WTS Global, a worldwide network of selected tax consultancy firms. Although our offices in Zurich and Zug are deeply rooted in the heart of Europe, we operate internationally. Whether we are working with companies or individual clients in Switzerland or overseas, our team always speaks the right language.